

04-11-01

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

ATTY DOCKET NO.: 8194-488

DATE: April 10, 2001

# UTILITY PATENT APPLICATION TRANSMITTAL LETTER AND FEE TRANSMITTAL FORM (37 CFR 1.53(b))

 11000 U.S. PTO  
09/829689  
04/10/01

BOX PATENT APPLICATION

Commissioner for Patents

Washington, DC 20231

Sir:

Transmitted herewith for filing under 37 CFR 1.53(b) is:

- ☒ a patent application  
☐ a Continuation ☐ a Divisional ☐ a Continuation-in-Part (CIP)  
 of prior application no.: ; filed .  
☐ A Small Entity Statement(s) was filed in the prior application; Status still proper and desired.

Inventor(s) or Application Identifier:

**Roozbeh Atarius** – Cary, North Carolina  
**Murali Narasimha** – Raleigh, North Carolina  
**Wail Refai** – Apex, North Carolina  
**Stuart B. Sanders** – Apex, North Carolina

Entitled: **METHODS, RECEIVERS, TRANSMITTERS, AND SYSTEMS FOR PERFORMING A  
SOFT HAND-OVER OF A MOBILE TERMINAL BETWEEN BASE STATIONS THAT  
COMMUNICATE USING DIFFERENT COMMUNICATION CHANNELS**

Enclosed are:

1. ☒ Application Transmittal Letter and Fee Transmittal Form (*A duplicate is enclosed for fee processing*)
2. ☒ 35 pages of Specification (including 47 claims)
3. ☒ 8 sheets of Formal Drawings (35 USC 113)
4. ☒ Oath or Declaration
  - a. ☒ newly executed (*original or copy*)
  - b. ☐ copy from prior application (37 CFR 1.63(d) (*for continuation/divisional*) [Note Box 5 Below]
  - c. ☐ **DELETION OF INVENTOR(S)** (*Signed statement deleting inventor(s) named in the prior application*)
5. ☐ Incorporation By Reference (*useable if box 4b is checked*)  
 The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. ☐ Microfiche Computer Program (*Appendix*)
7. ☒ Assignment papers (*cover sheet(s) and document(s)*)
8. ☐ Small Entity Statement(s)
9. ☐ Information Disclosure Statement, PTO-1449, and references cited
10. ☐ Preliminary Amendment (*Please enter all claim amendments prior to calculating the filing fee.*)
11. ☐ English Translation Document

12. ☐ Certified Copy of  
 13. ☐ Sequence Listing/ Sequence Listing Diskette  
     a. ☐ computer readable copy  
     b. ☐ paper copy  
     c. ☐ statement in support  
 14. ☐ An Associate Power of Attorney  
 15. ☒ Return Receipt Postcard (MPEP 503) *(Should be specifically itemized)*  
 16. ☐ Other:

The fee has been calculated as shown below:

	Column 1 No. Filed	Column 2 No. Extra	Small Entity Rate      Fee	Large Entity Rate      Fee
BASIC FEE			\$355.00	\$710.00
TOTAL CLAIMS	47 - 20 =	27	x 09 = \$	x 18 = \$486.00
INDEP CLAIMS	10 - 3 =	7	x 40 = \$	x 80 = \$560.00
<input type="checkbox"/> MULTIPLE Dependent Claims Presented			+ 135 = \$	+ 270 = \$
<i>If the difference in Col. 1 is less than zero, Enter "0" in Col. 2</i>			Total \$	Total \$1756.00

- ☐ A check in the amount of \$            to cover the filing fee is enclosed.
- ☒ A check for **\$1756.00** to cover the filing fee, and a check for **\$40.00** to cover the Assignment Recordation fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-0220.
- ☒ Any additional filing fees required under 37 CFR 1.16.
- ☒ Any patent application processing fees under 37 CFR 1.17.

Respectfully submitted,



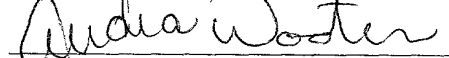
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**CERTIFICATE OF EXPRESS MAILING**

Express Mail Label No. 733094680US  
 Date of Deposit: April 10, 2001

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Box Patent Application, Commissioner For Patents, Washington, DC 20231.

  
 Audra Wooten  
 Date of Signature: April 10, 2001